

# **Domain Adaptation for Neural Machine Translation**

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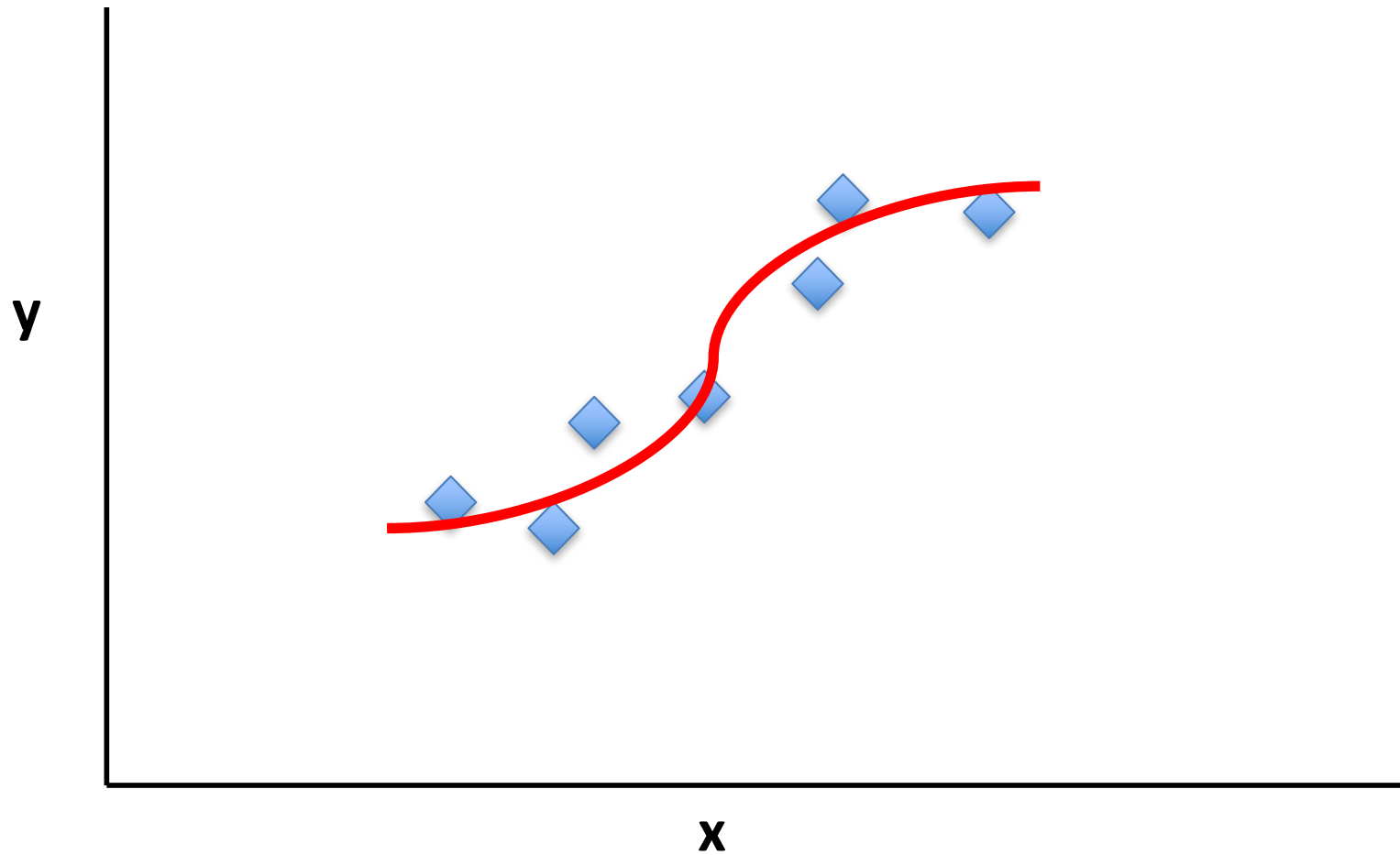
# Outline

1. Problem definition
2. Survey of adaptation methods
3. Error Analysis
4. Promising Research Directions

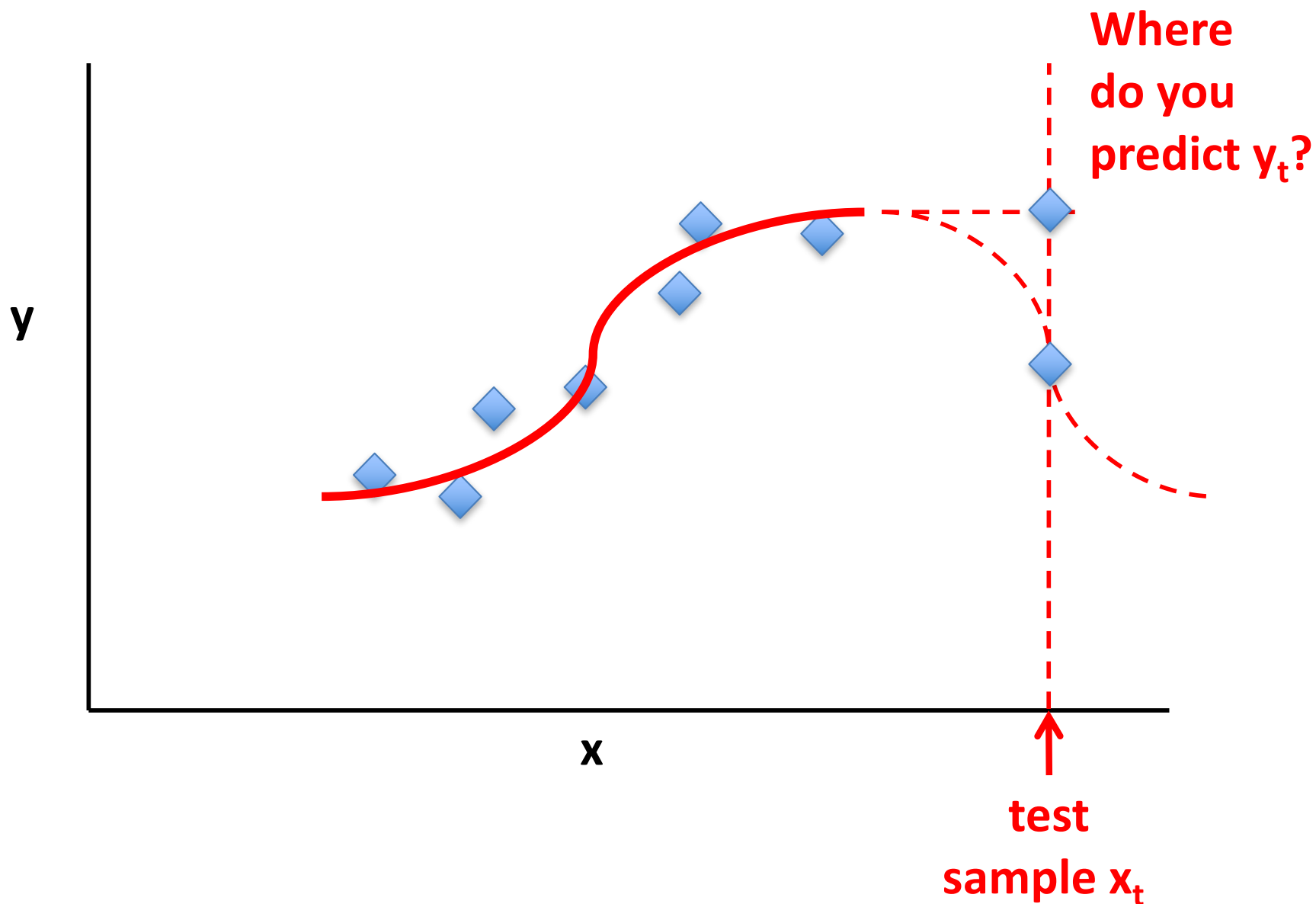
# Domain Adaptation Problem: Machine Learning Perspective

- Training data:
  - $(x_1, y_1), (x_2, y_2), (x_3, y_3), \dots$ , i.i.d. samples from distribution  $\mathbf{D}$
  - Build model  $p(y|x)$
- If test data is **not** from  $\mathbf{D}$ ,  $p(y|x)$  may be operating at a space it wasn't built for.
  - Two cases for what we mean by “not from  $\mathbf{D}$ ”

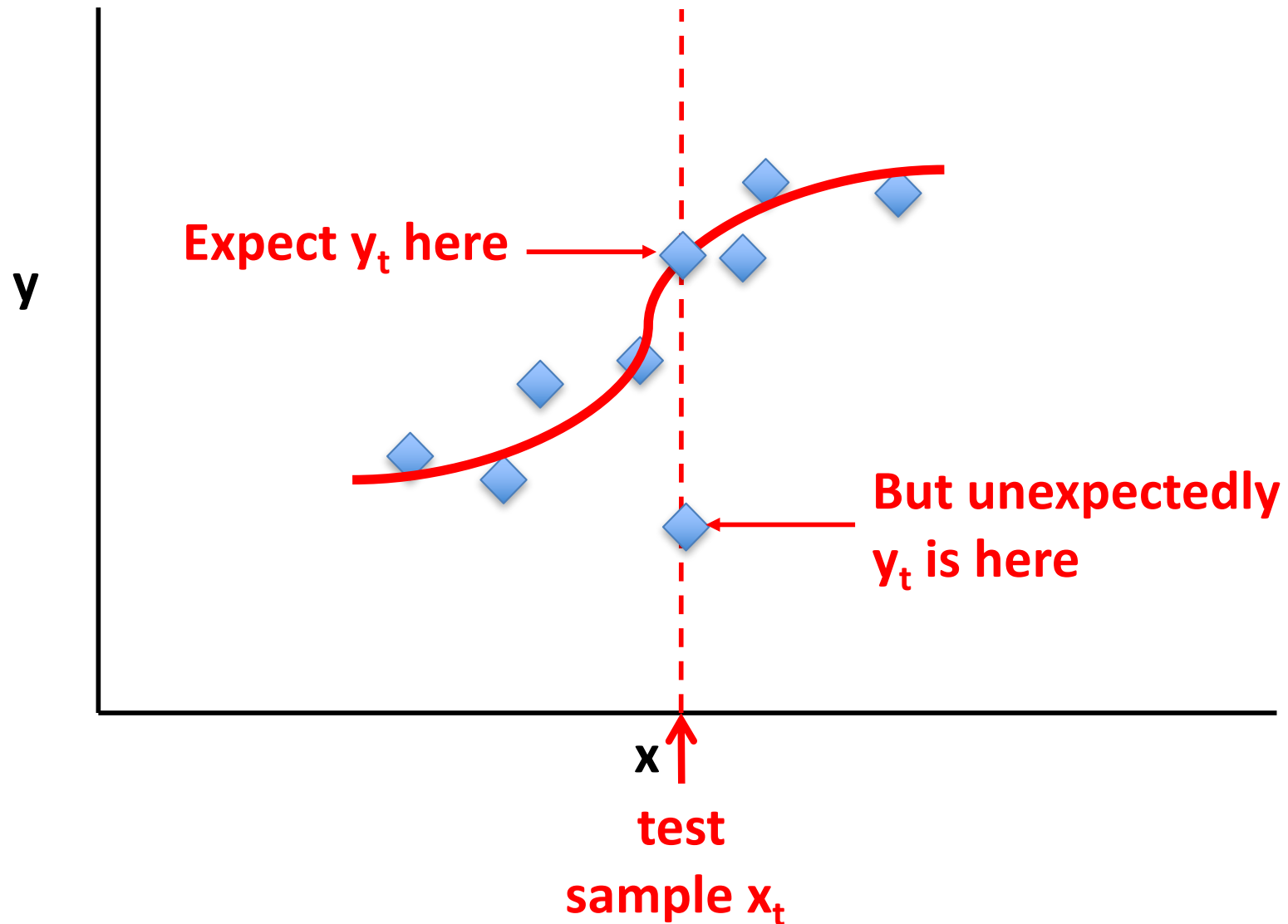
# Visualization: Fitting $p(y|x)$



# Case 1: Test is not in input domain (Covariate Shift)



# Case 2: Input-output relation changes



# Examples in Machine Translation (MT)

- Domain mismatch example:
  - Training data consists of Patent sentences
  - Test sample is Social Media
- Case 1: Test is not in input domain
  - can translate technical words like “NMT”
  - no idea how to translate “OMG”
- Case 2: Input-Output relation changes
  - “CAT” translates to a word that means “Computer Aided Translation” rather than “Cute furry animal”

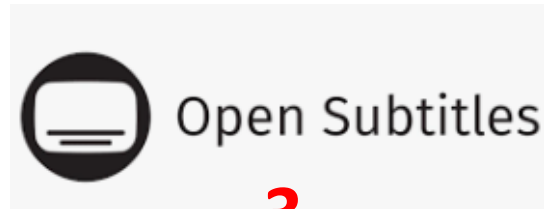
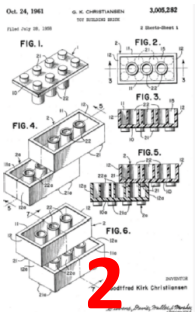
# “Domain” is a fuzzy concept in practice

- Corpora differ by:
  - Topics: patents, politics, news, medicine
  - Style: formal, informal
  - Modality: written, spoken
- Often use “domain” to refer to data source:
  - e.g. Europarl, OpenSubtitles, TED, Paracrawl
- Both case 1 and case 2 mismatches occur at multiple levels: lexical, syntactic, etc.



# Example sentences (case 1): which is Patent, TED, Subtitles, Europarl?

1. We live in a digital world, but we're fairly analog creatures.
2. The tablets exhibit improved bioavailability of the active ingredient.
3. So, um... she's kidding.
4. Resumption of the session



## Example bitext (case 2)

### **Medicine (EMEA):**

if you have severe depression, you must not use avonex . / no debe utilizar avonex si padece una depresión grave .

### **Parliament (Europarl):**

the economic depression in europe has lasted at least ten years . / europa sufre una crisis económica desde hace , al menos , diez años .

# Why is Domain Adaptation an important problem in MT?

- It may be expensive to obtain training bitexts that are both **large** & **relevant** to test domain
- Often have to work with whatever we can get

		<b>Data Size</b>	
		<b>Small</b>	<b>Large</b>
<b>Relevance to test domain</b>	<b>Irrelevant</b>		✓
	<b>Relevant</b>	✓	✓✓

# Terminology (1 of 2)

- Example: Test domain is **Social Media**
- In-domain data
  - Data that is relevant to test domain: **SNS corpus**
- Out-of-domain data
  - Data that is less relevant to test domain: **Europarl**
- General-domain data
  - May use interchangeably with Out-of-Domain
  - May mean mixed corpus:
    - Europarl + Patent + TED
    - Europarl + Patent + TED + SNS

# Terminology (2 of 2)

## Data Size

## Relevance to test domain

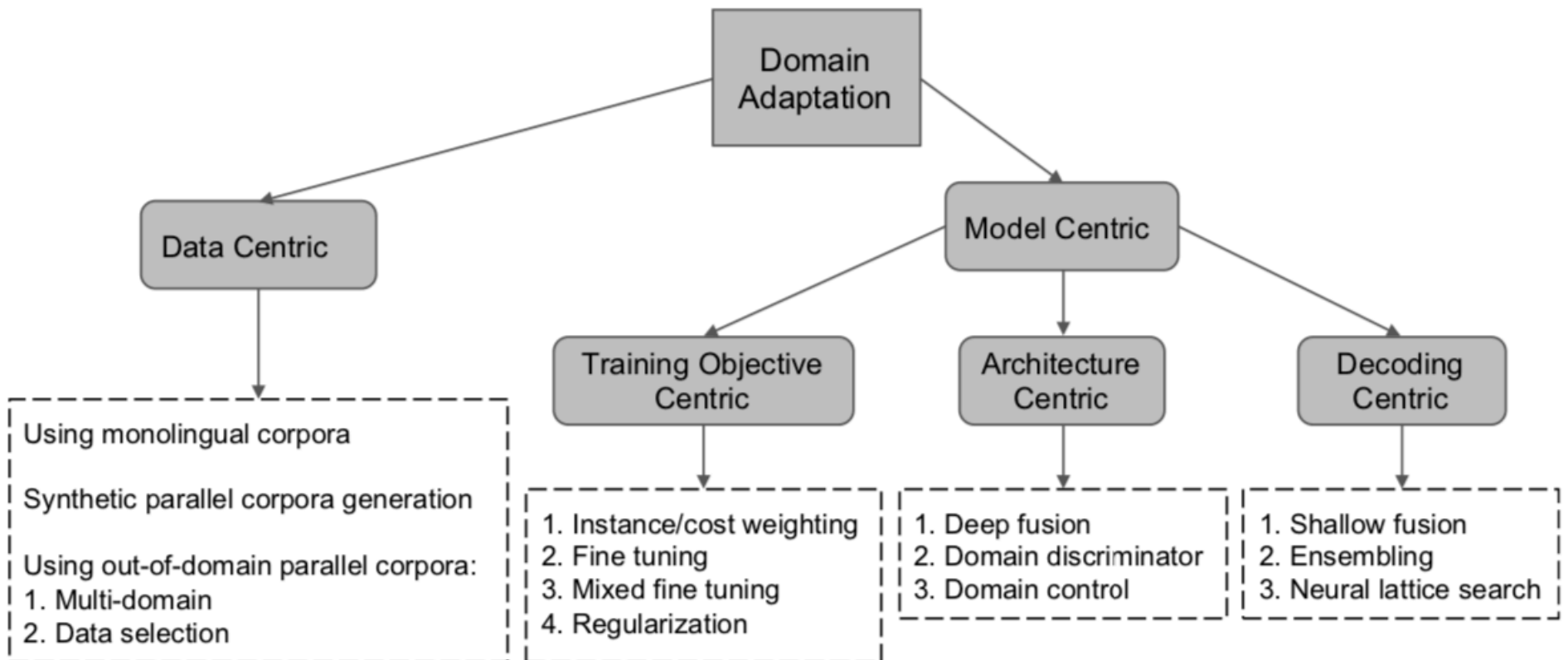
	Small	Large
Irrelevant		Out-of-Domain (OOD)
Relevant	In-Domain (ID)	

- Supervised adaptation methods:
  - Assumes OOD bitext & ID bitext
- Unsupervised adaptation methods:
  - Assumes OOD bitext & ID monotext

# Outline

1. Problem definition
2. Survey of adaptation methods
3. Error Analysis
4. Promising Research Directions

# A taxonomy of domain adaptation methods for NMT

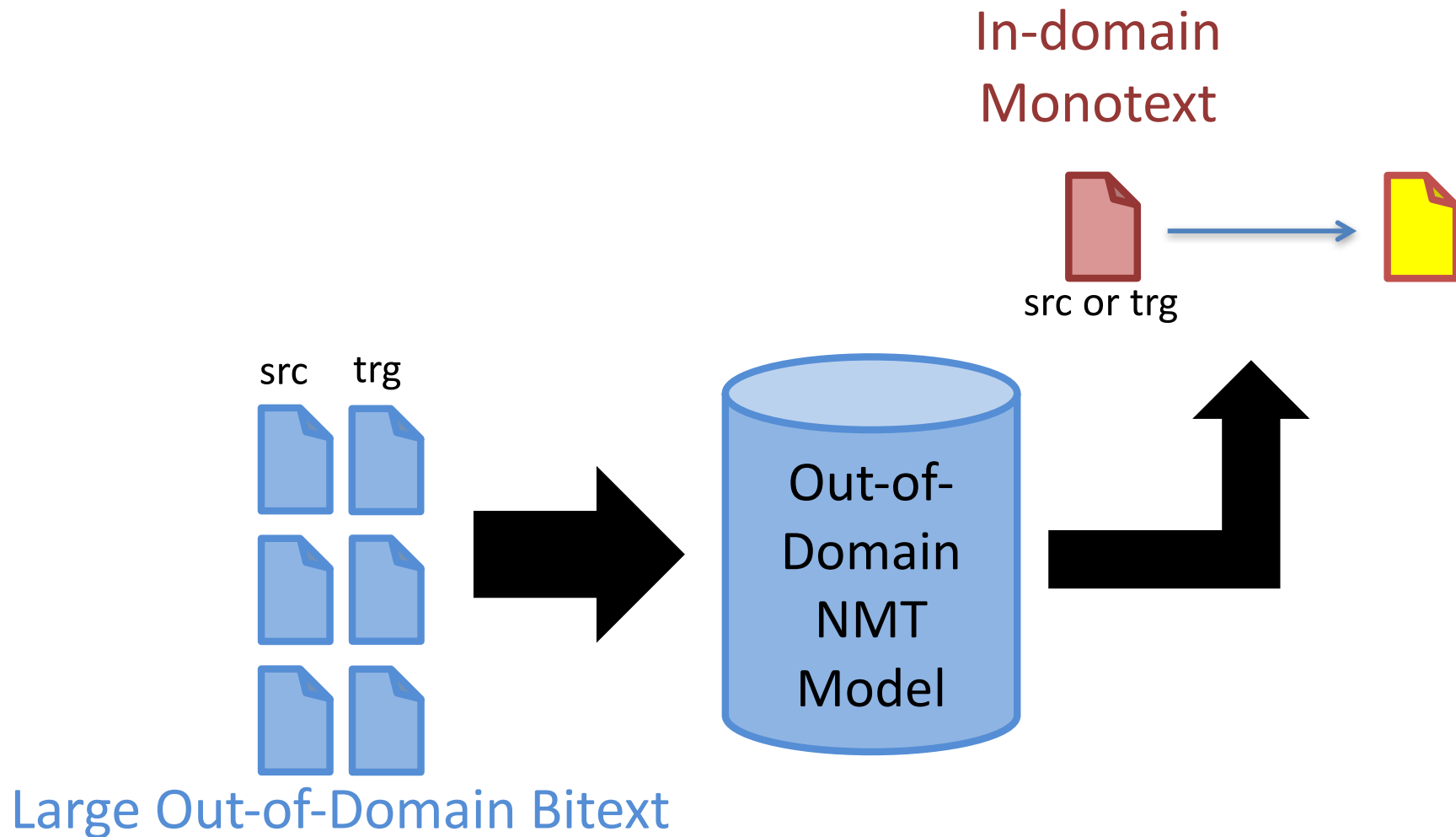


# Data centric adaptation methods

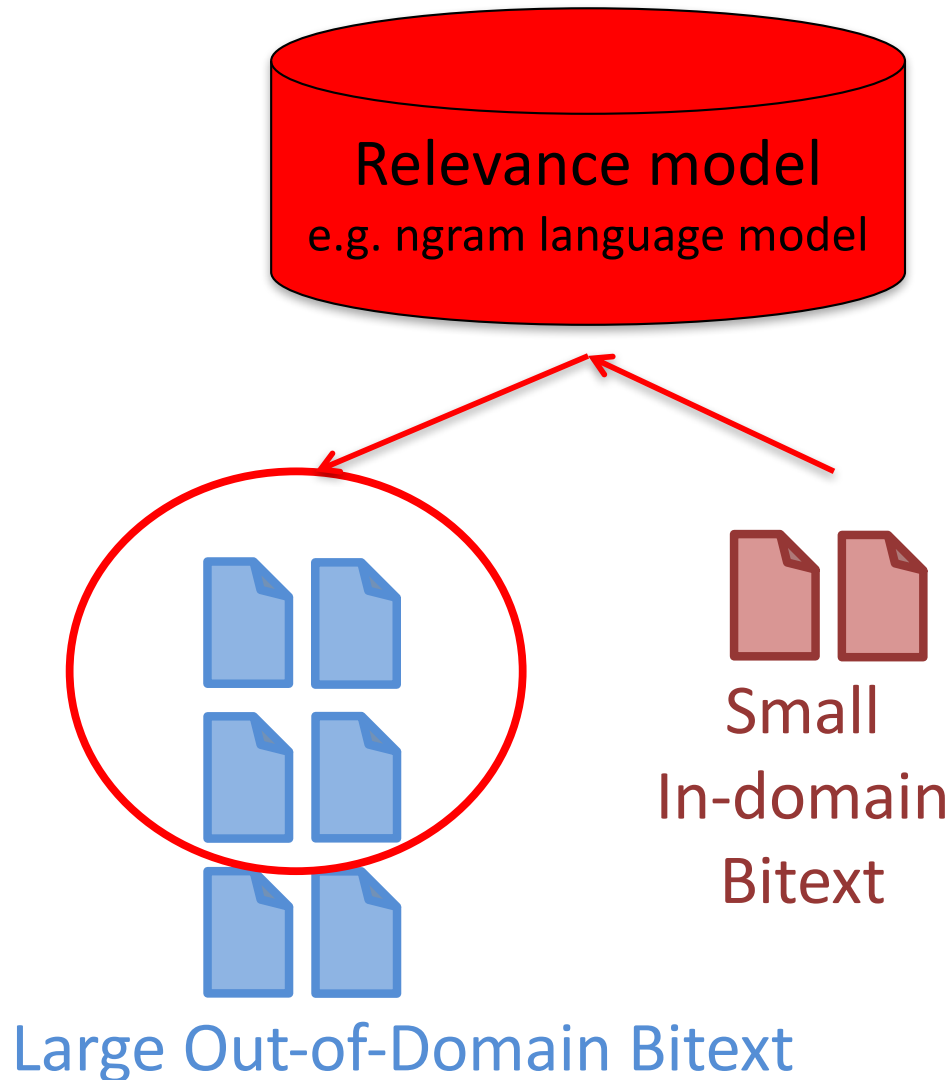
*Note: I'll present an assortment of methods, picked mainly to demonstrate the variety but not necessarily representative of the literature.*



# Synthetic Data Augmentation (forward or back translation)



# Filtering Out-of-Domain Bitext for relevant data subsets (esp. for case 2)



*Robert C Moore and William Lewis. Intelligent selection of language model training data. ACL 2010*

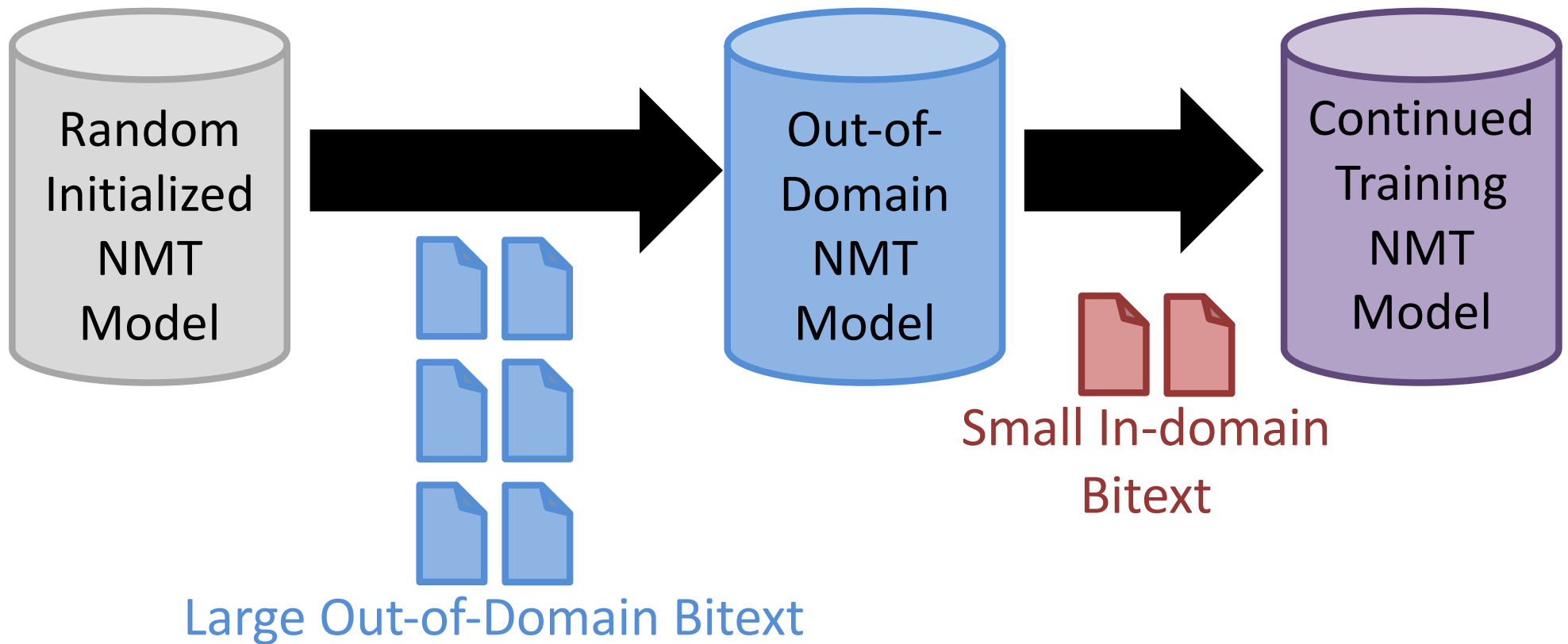
*Amittai Axelrod, Xiaodong He, and Jianfeng Gao. Domain adaptation via pseudo in-domain data selection. EMNLP 2011*

*Kevin Duh, Graham Neubig, Katsuhito Sudoh, and Hajime Tsukada. Adaptation data selection using neural language models: Experiments in machine translation. ACL 2013*

*Marcin Junczys-Dowmunt. Dual conditional cross-entropy filtering of noisy parallel corpora. WMT 2018*

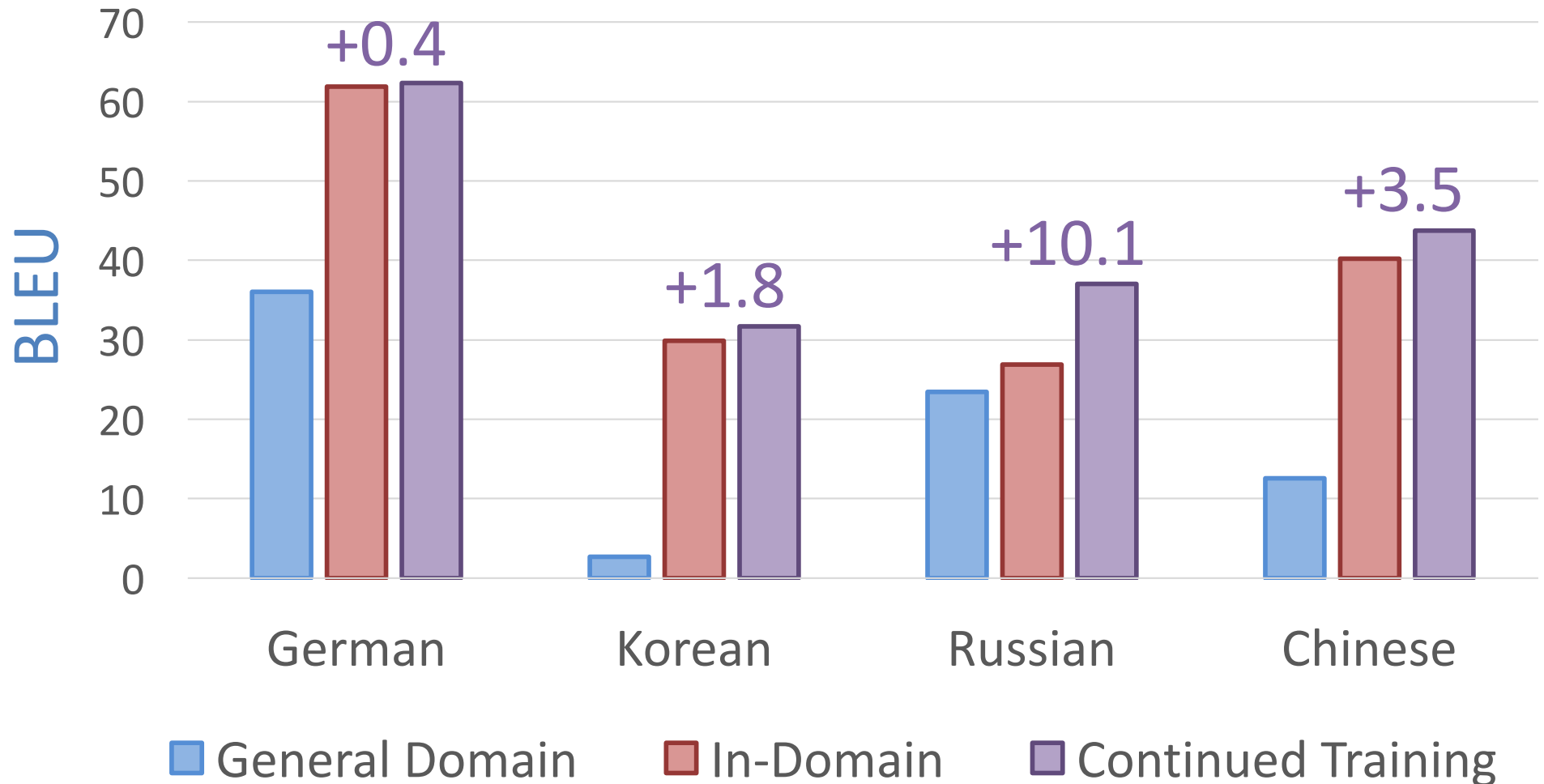
# Training objective centric methods

# Continued Training (a.k.a fine-tuning)



*This seems to be 1<sup>st</sup> citation on NMT continued training: Minh-Thang Luong and Chris Manning. Stanford Neural Machine Translation Systems for Spoken Language Domain. IWSLT 2016*

# Continued Training: General Domain → Patent Domain



*Results from JHU SCALE Workshop 2018: Resilient Machine Translation in New Domains*

## General algorithm:

1. Train model on convergence on dataset A (A=OOD bitext)
2. Continue training on dataset B (B=in-domain bitext)

## Continued Training Variants:

- Details on learning rate, etc. in step 2 matters
- Adding a regularization term or fix subnetworks in step 2
  - Antonio Valerio Miceli Barone, Barry Haddow, Ulrich Germann, and Rico Sennrich. *Regularization techniques for fine-tuning in neural machine translation*. EMNLP 2017
  - Huda Khayrallah, Brian Thompson, Kevin Duh, and Philipp Koehn. *Regularized training objective for continued training for domain adaptation in neural machine translation*. WNMT 2018
  - Brian Thompson, Huda Khayrallah, Antonios Anastasopoulos, Arya D. McCarthy, Kevin Duh, Rebecca Marvin, Paul McNamee, Jeremy Gwinnup, Tim Anderson, Philipp Koehn. *Freezing Subnetworks to Analyze Domain Adaptation in Neural Machine Translation*, WMT 2018
- Different ways to mix data (e.g. A+B in step 2) or order data
  - Chenhui Chu, Raj Dabre, and Sadao Kurohashi. *An empirical comparison of domain adaptation methods for neural machine translation*. ACL 2017
  - Marlies van der Wees, Arianna Bisazza, and Christof Monz. *Dynamic data selection for neural machine translation*. EMNLP 2017
  - Wei Wang, Taro Watanabe, Macduff Hughes, Tetsuji Nakagawa, and Ciprian Chelba. *Denoising neural machine translation training with trusted data and online data selection*. WMT 2018
  - Xuan Zhang, Pamela Shapiro, Gaurav Kumar, Paul McNamee, Marine Carpuat and Kevin Duh. *Curriculum Learning for Domain Adaptation in Neural Machine Translation*. NAACL 2019
- Ensembling out-of-domain model and continued trained model:
  - Markus Freitag and Yaser Al-Onaizan. 2016. *Fast Domain Adaptation for Neural Machine Translation*. ArXiv abs/1612.06897.

# Instance Weighting

*Rui Wang, Masao Utiyama, Lemao Liu, Kehai Chen, Eiichiro Sumita. Instance Weighting for Neural Machine Translation Domain Adaptation. EMNLP 2017*

$$J_{dw} = \lambda_{in} \sum_{(\mathbf{x}, \mathbf{y}) \in \mathcal{D}_{in}} \log p(\mathbf{y} | \mathbf{x}) + \sum_{(\mathbf{x}', \mathbf{y}') \in \mathcal{D}_{out}} \log p(\mathbf{y}' | \mathbf{x}').$$

*Boxing Chen, Colin Cherry, George Foster, Samuel Larkin. Cost Weighting for Neural Machine Translation Domain Adaptation. WNMT 2017*

$$\theta^* = \arg \max_{\theta} \sum_{(x, y) \in D} (1 + p_d(x)) \log p(y | x; \theta)$$
$$p_d(x) = \sigma \left( \tanh (W^d r_x + b^d)^\top w^d \right)$$

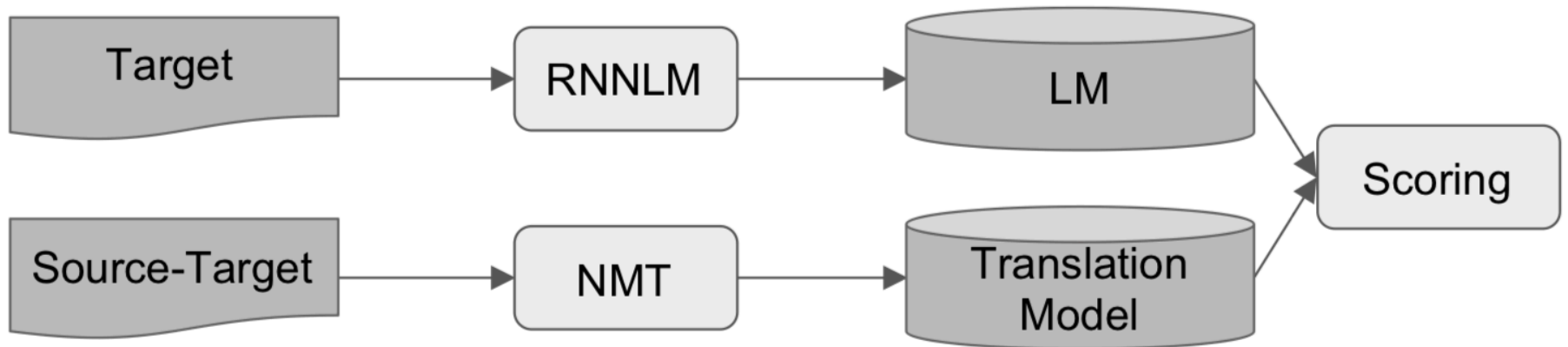
$$\text{where } \sigma(x) = \frac{1}{1 + \exp(-x)}$$

# Architecture/Decoder Centric methods

- (I prefer to consider the two types of methods together since it is sometimes arbitrary to differentiate what's part of the whole architecture and what's only part of the decoding process)



# Fusion of two models



*Caglar Gulcehre, Orhan Firat, Kelvin Xu, Kyunghyun Cho, Loic Barrault, Huei-Chi Lin, Fethi Bougares, Holger Schwenk, and Yoshua Bengio. 2015. On using monolingual corpora in neural machine translation. CoRR, abs/1503.03535.*

# Translation model vs. Language model

- Problem: Language model can be too strong

*Source:* Ammo muammolar hali ko'p, deydi amerikalik olim Entoni Fauchi.

*Reference:* But still there are many problems, says American scientist Anthony Fauci.

*Baseline NMT:* But there is still a lot of problems, says James Chan.

- One solution:  $p(y_t | y_{<t}, x) = \text{softmax}(W^o \tilde{h}_t + b^o + W^l h_t^l + b^l)$

Averaged source word representation at decode time t

Toan Nguyen and David Chiang. *Improving Lexical Choice in Neural Machine Translation. NAACL 2018* (note this paper addresses the general problem of improper lexical choice, but this is a frequent problem in domain adaptation)

# Other adaptation methods

# Adaptation at the token level: Subword Regularization

Subwords (- means spaces)	Vocabulary id sequence
_Hell/o/_world	13586 137 255
_H/ello/_world	320 7363 255
_He/llo/_world	579 10115 255
_/He/l/l/o/_world	7 18085 356 356 137 255
_H/el/l/o/_/world	320 585 356 137 7 12295

Table 1: Multiple subword sequences encoding the same sentence “Hello World”

*Taku Kudo, Subword Regularization: Improving Neural Network Translation Models with Multiple Subword Candidates, ACL 2018*

$$\mathcal{L} = \sum_{s=1}^{|D|} \log(P(X^{(s)})) = \sum_{s=1}^{|D|} \log\left(\sum_{\mathbf{x} \in \mathcal{S}(X^{(s)})} P(\mathbf{x})\right)$$

Train over different subword segmentations, randomly sampled

Domain (size)	Corpus	Language pair	Baseline (BPE)	Proposed (SR)
Web (5k)	IWSLT15	en → vi	13.86	17.36*
		vi → en	7.83	11.69*
		en → zh	9.71	13.85*
		zh → en	5.93	8.13*
	IWSLT17	en → fr	16.09	20.04*
		fr → en	14.77	19.99*
	WMT14	en → de	22.71	26.02*
		de → en	26.42	29.63*

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The truth is,  
it's hard to analyze MT errors

- It was hard to pinpoint why translation was incorrect in the SMT days
- It's perhaps even harder for NMT
- But we try anyway. At least it gives a way to think about the problem.

# S4 Analysis

(originally developed for SMT)

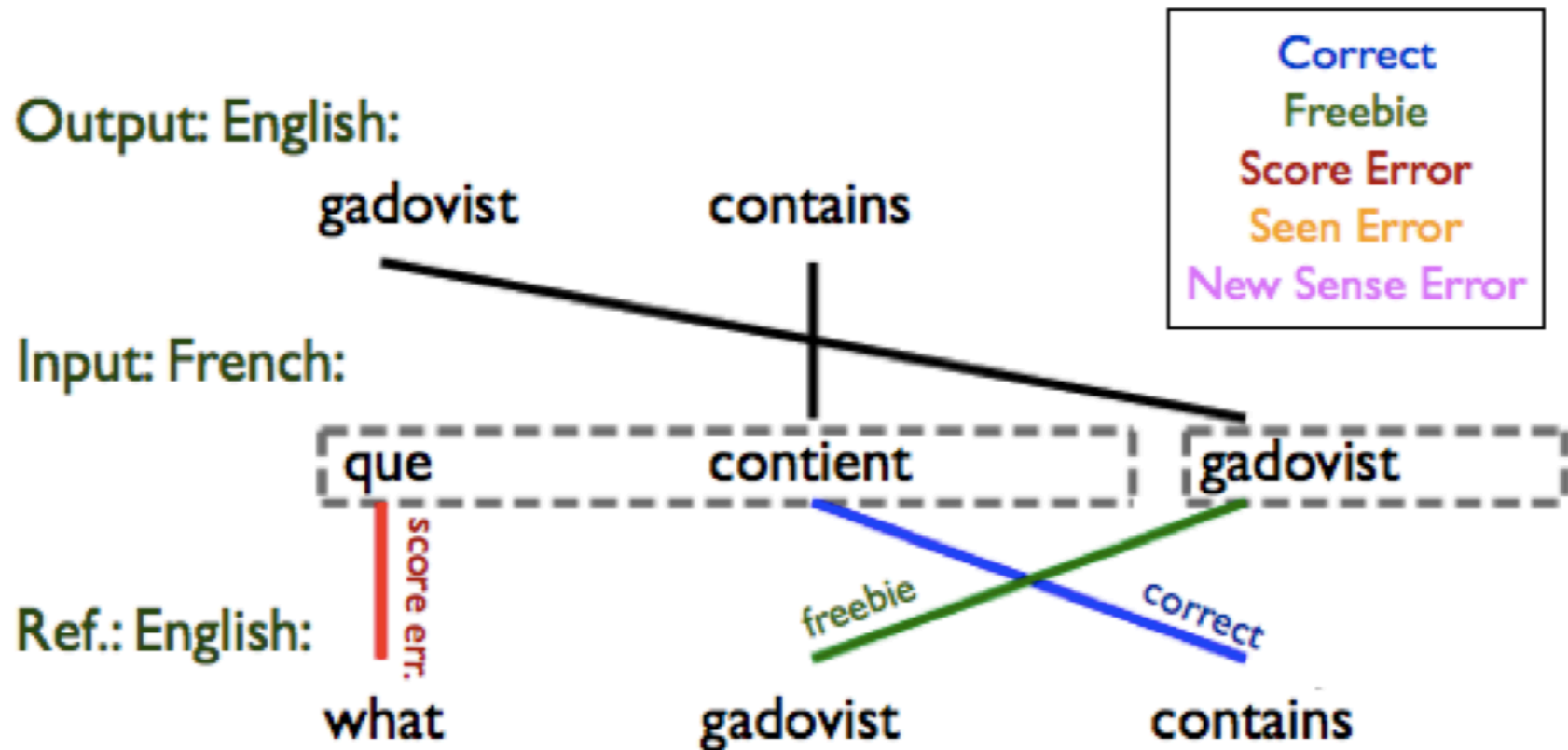
- **SEEN error:** Never seen this source word before in the training data (case 1 in 1<sup>st</sup> part of this talk)
- **SENSE error:** The source word appears in the training data, but is not used in this sense. (e.g. case 2)
- **SCORE error:** The source word and its translation appears in the training data, but the correct translation is scored lower
- **SEARCH error:** The correct translation is scored higher, but somehow got lost in the search process

*Ann Irvine, John Morgan, Marine Carpuat, Hal Daumé' III, and Dragos Munteanu. 2013. Measuring machine translation errors in new domains. Transactions of the Association for Computational Linguistics (TACL)*



# S4 Analysis

(requires reference and alignment)



Ann Irvine, John Morgan, Marine Carpuat, Hal Daumé' III, and Dragos Munteanu. 2013. *Measuring machine translation errors in new domains*. *Transactions of the Association for Computational Linguistics (TACL)*

# S4 Analysis

(for NMT?)

- First, run external word aligner to determine correct/incorrect words (but how much can we trust this?)
- **SEEN error:**
  - Check out-of-vocabulary words on source side
- **SENSE error:**
  - For a given source word **f**, check if the desired translation never appears in the target side of training bitext where **f** appears?
- **SCORE error:**
  - When none of the above is true?
- **SEARCH error:**
  - Not sure how to check besides increasing beam, but..

# Fluently Inadequate Translations

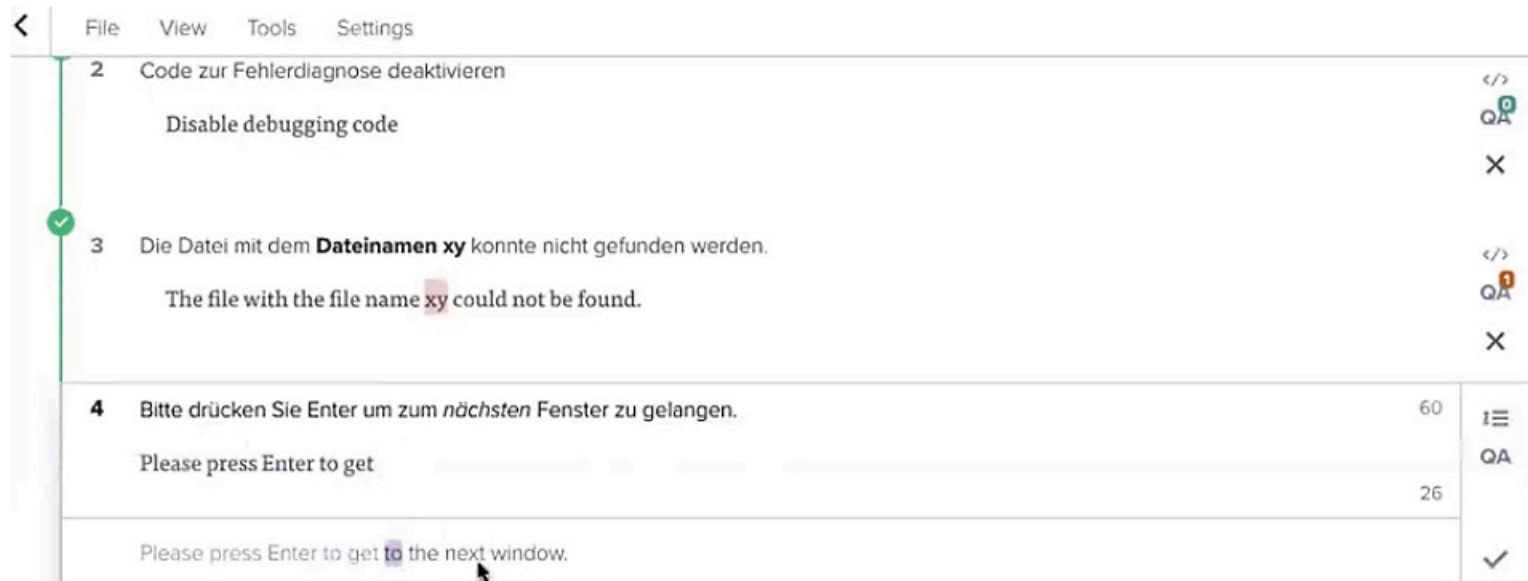
- Source: 乌鸦父母还教会自己的孩子这样的技巧呢。  
(TEDtalk)
- Un-adapted system output: I'm afraid I'm not going to have to go to bed.
- Gloss: Crow parents seem to be teaching their young these skills.
- Adapted system output: And their parents also taught their children how to do it.
- Translations that are fluent but have nothing to do with the source are **very dangerous!**

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# Personalized Adaptation, e.g. for Computer Assisted Translation (CAT)

- CAT presents many interesting opportunities for research (with real user impact!)
- Example interface at Lilt.com:



*Paul Michel, Graham Neubig. Extreme Adaptation for Personalized Neural Machine Translation. ACL 2018*

*Sachith Sri Ram Kothur, Rebecca Knowles and Philipp Koehn. Document-Level Adaptation for Neural Machine Translation. WNMt 2018*

# Adaptation to New Languages

- Given bitext in language pairs A->B, C->D
  - Build a translator for A->D
  - Build a translator for E->B where E is related language to A
  - Assumes some shared representation, can use continued training, etc.
- Crazy idea but potentially large impact

*Barret Zoph, Deniz Yuret, Jonathan May, and Kevin Knight. Transfer learning for low-resource neural machine translation. EMNLP 2016; Graham Neubig and Junjie Hu. Rapid Adaptation of Neural Machine Translation to New Languages. EMNLP 2018*

# Understanding adaptation errors as a way to understand NMT behavior

- Domain Adaptation provides a good testbed for understanding overfitting, etc.
- What triggers a fluently inadequate translation?
- Why does catastrophic forgetting happen?

*Thompson, et. al. NAACL 2019; Saunders, et. al. ACL 2019; Kirpatrick, et. al. PNAS 2017*

# Better adaptation algorithms

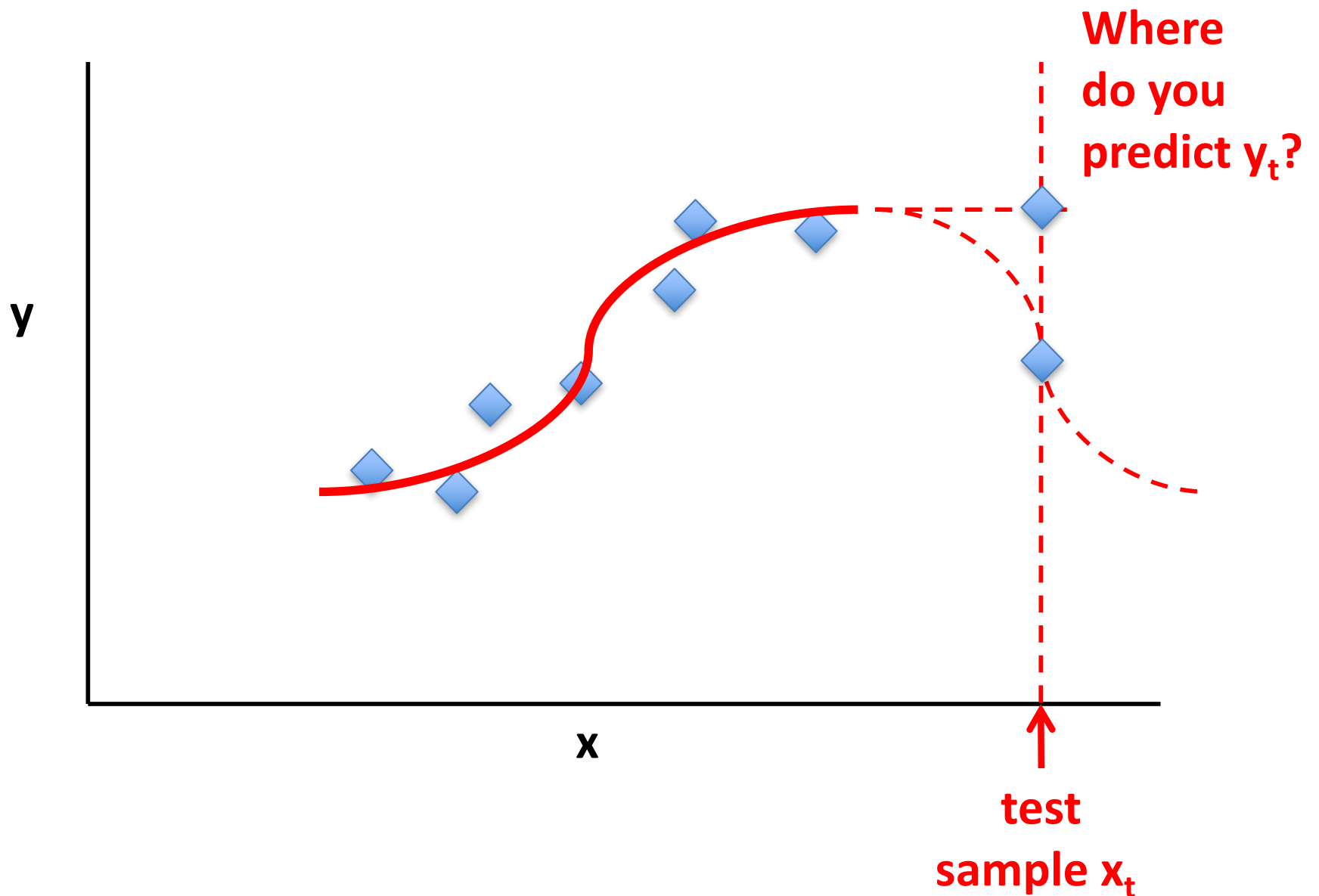
- Many opportunities for new ideas in NMT, e.g.
- Batch vs. Online setup
  - Online setup makes curriculum learning easier
- Easy to design new architectures
  - Multitask learning for sharing parameters & data
- What ideas to borrow from SMT?
  - Modularization of lexical choice, reordering, syntax, etc.



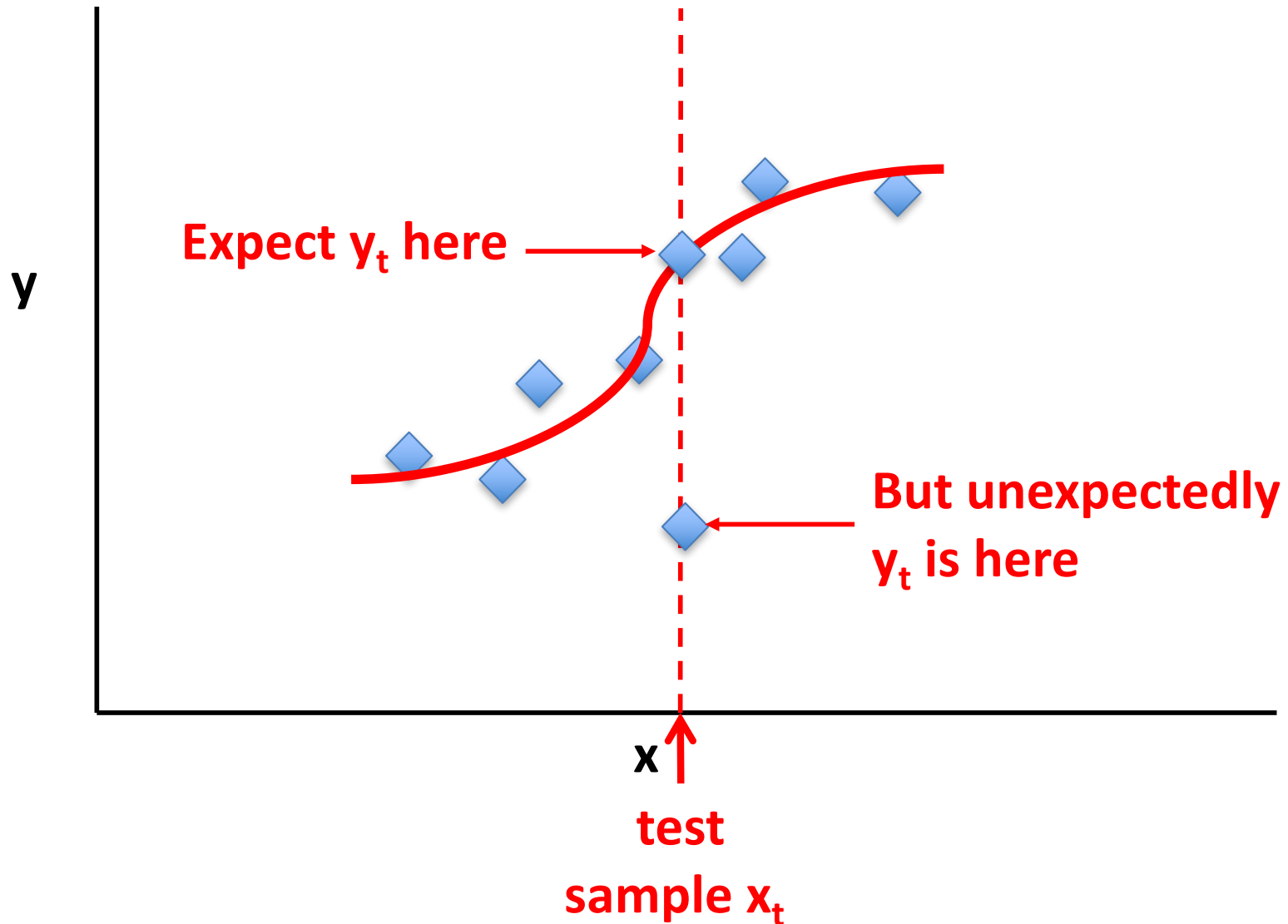
# Re-cap

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# Case 1: Test is not in input domain (Covariate Shift)



# Case 2: Input-output relation changes

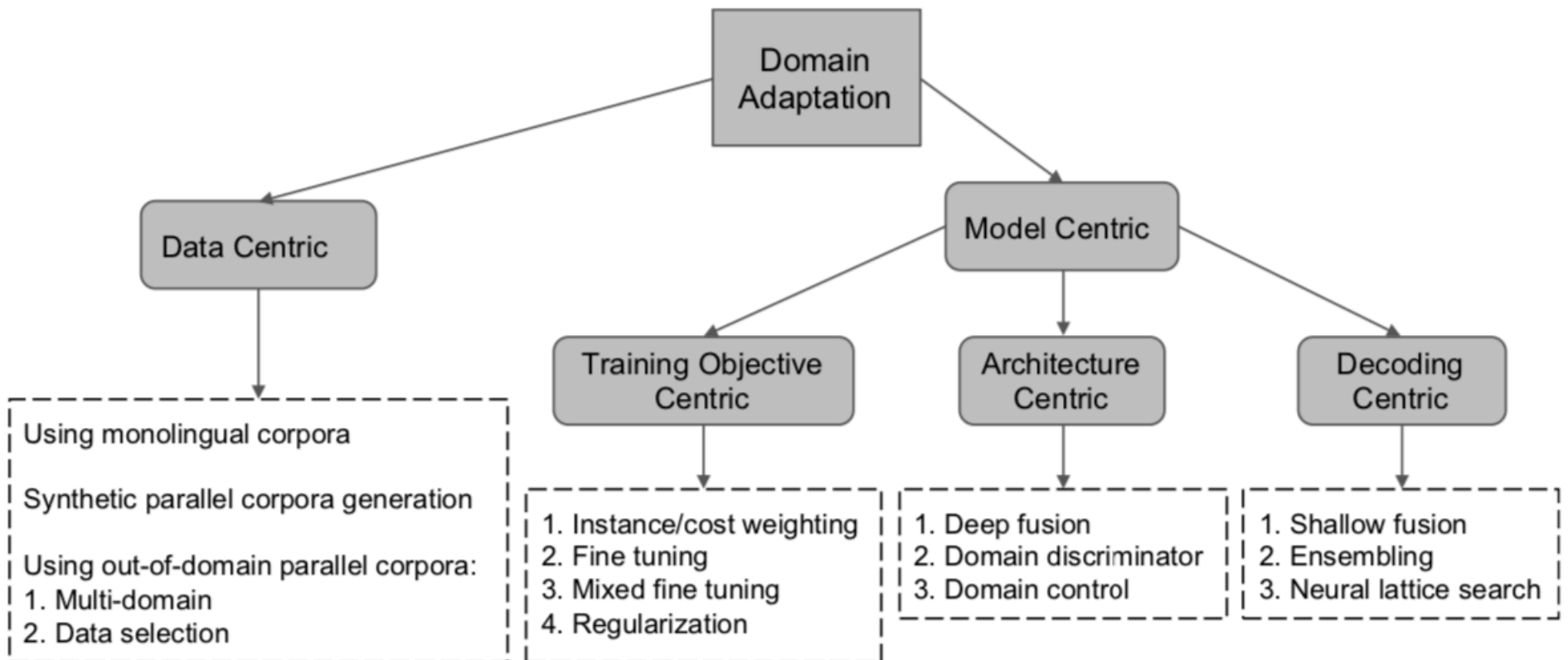


# Why is Domain Adaptation an important problem in MT?

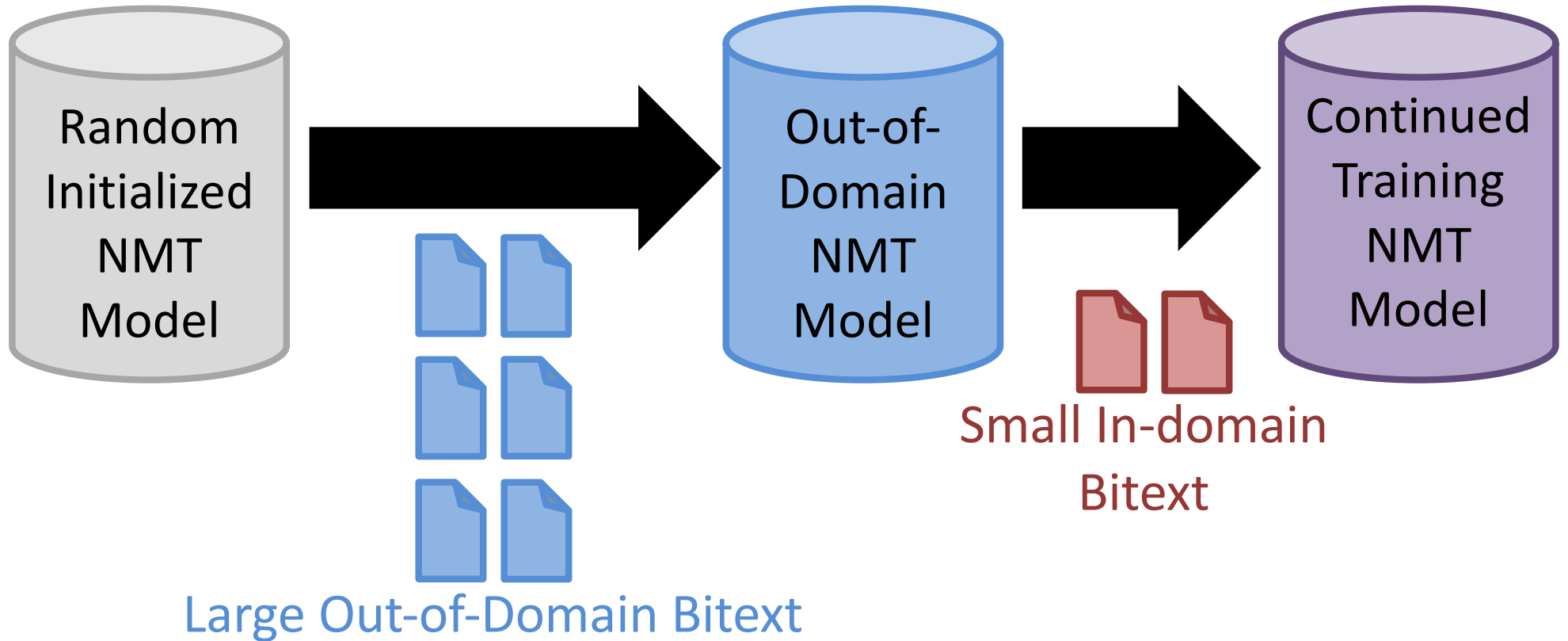
- Expensive to obtain training bitexts that are both **large** & **relevant** to test domain

		<b>Data Size</b>	
		<b>Small</b>	<b>Large</b>
<b>Relevance to test domain</b>	<b>Irrelevant</b>		✓
	<b>Relevant</b>	✓	✓✓

# A taxonomy of domain adaptation methods for NMT



# Continued Training



# Outline

1. Problem definition
2. Survey of adaptation methods
3. Error Analysis
  - S4 & Fluently Inadequate Translations
4. Promising Research Directions
  - CAT, new language adaptation, adaptation as window to understand NMT, etc.