

Lecture 13: Basic Graph Algorithms

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October 8, 2024

601.433/633 Introduction to Algorithms

Introduction

Next 3-4 weeks: graphs!

- ▶ Super important abstractions, used all over the place in CS
- ▶ Most of my research is in graph algorithms (particularly when graph represents computer/communication network)
- ▶ Great course on Graph Theory in AMS

Today: review of basic graph algorithms from Data Structures, possibly one or two new

- ▶ Going to move pretty quickly, since much review: see CLRS for details!

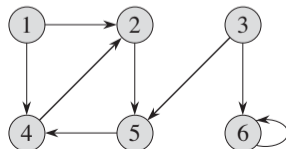
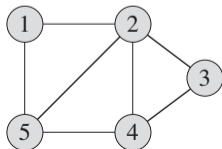
Basic Definitions

Definition

A graph $G = (V, E)$ is a pair where V is a set and $E \subseteq \binom{V}{2}$ (unordered pairs) or $E \subseteq V \times V$ (ordered pairs).

Notation:

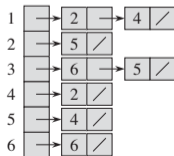
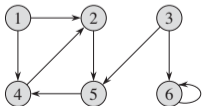
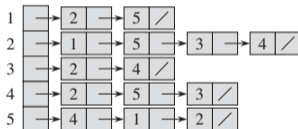
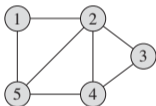
- ▶ Elements of V are called *vertices* or *nodes*
- ▶ Elements of E are called *edges* or *arcs*.
- ▶ If $E \subseteq \binom{V}{2}$ then graph is *undirected*, if $E \subseteq V \times V$ graph is *directed*
- ▶ $|V| = n$ and $|E| = m$ (usually)
- ▶ So “size of input” = $n + m$



Representations

Adjacency List:

- ▶ Array \mathbf{A} of length n
- ▶ $\mathbf{A}[v]$ is linked list of vertices *adjacent* to v (edge from u to v)



Adjacency Matrix:

- ▶ $\mathbf{A} \in \{0, 1\}^{n \times n}$
- ▶ $A_{ij} = \begin{cases} 1 & \text{if } (i, j) \in E \\ 0 & \text{otherwise} \end{cases}$

	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

	1	2	3	4	5	6
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Representations (cont'd)

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 $O(d(\mathbf{u}))$ or $O(d(\mathbf{v}))$ (where $d(\mathbf{v})$ is the degree of \mathbf{v} : # edges with \mathbf{v} as endpoint)

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 - ▶ Takes $\Theta(n^2)$ space: if m small, lots wasted!
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- ▶ Replace adjacency *list* with adjacency *structure*: Red-black tree, hash table, etc.
- ▶ Not traditional, doesn't gain us much, and more complicated. But better!

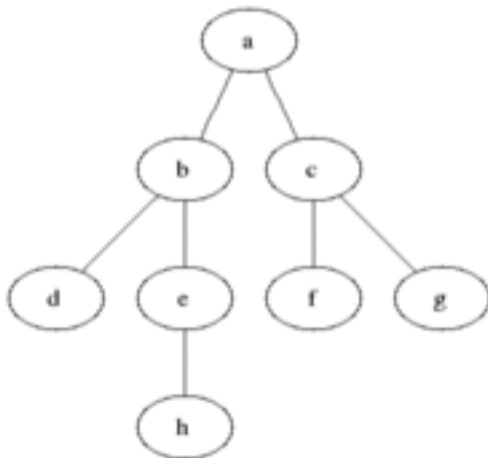
Breadth-First Search (BFS)

BFS Definition

Idea: explore graph in *levels* or *layers* from source s

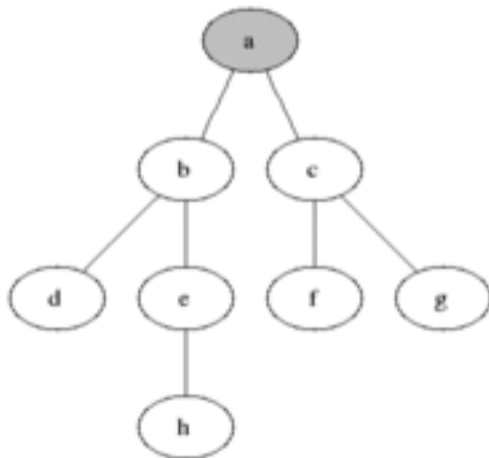
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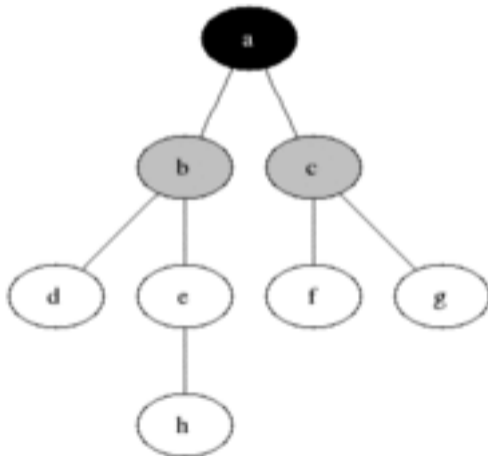
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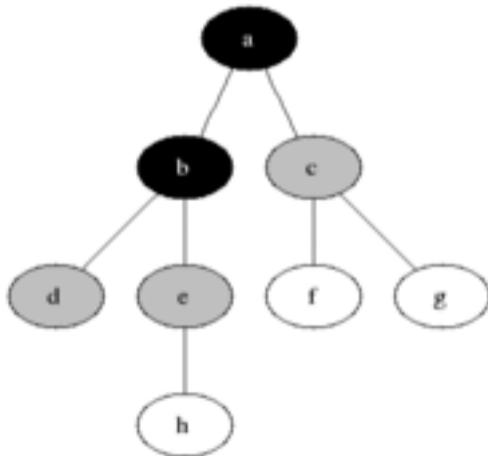
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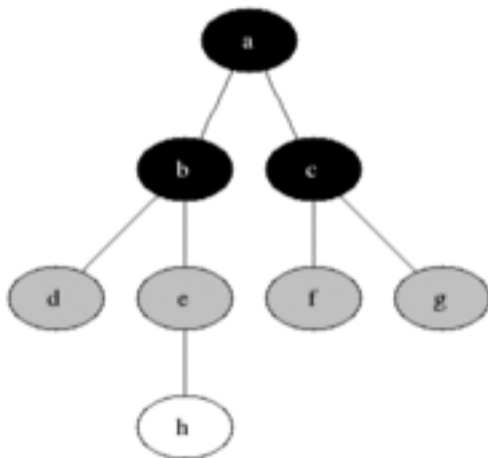
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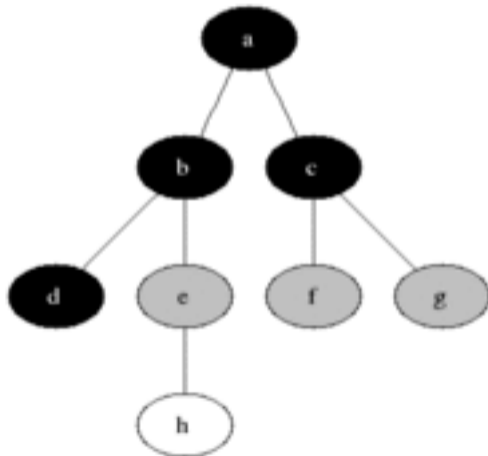
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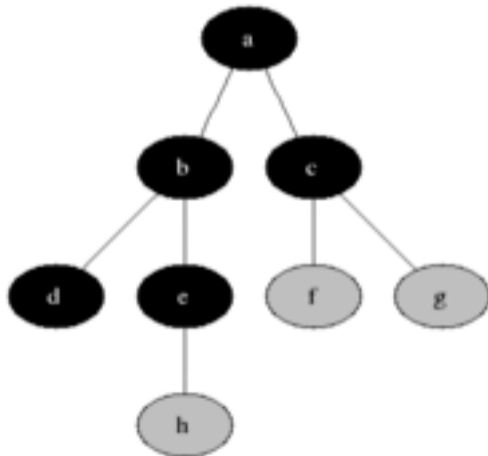
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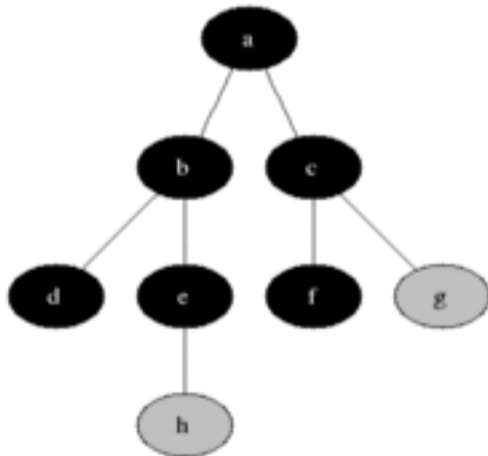
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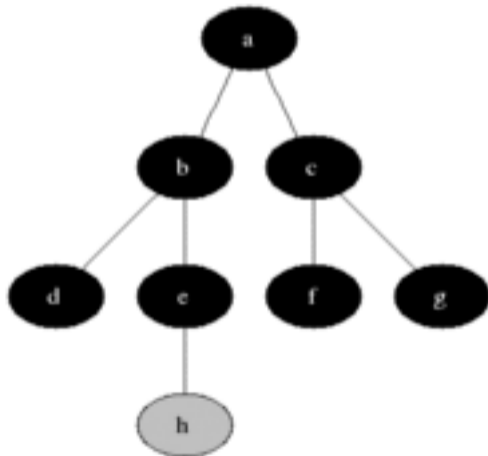
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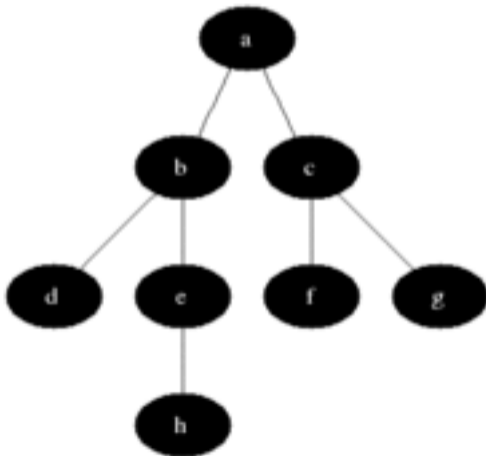
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BFS Pseudocode

Idea: explore with a queue (FIFO)

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BFS( $\mathbf{G} = (\mathbf{V}, \mathbf{E}), s$ ) {  
  Set  $\mathit{mark}(s) = \mathit{True}$ ;  
  Set  $\mathit{mark}(v) = \mathit{False}$  for all  $v \in \mathbf{V} \setminus \{s\}$ ;  
   $\mathit{Enqueue}(s)$ ;  
  while(queue not empty) {  
     $v = \mathit{Dequeue}()$ ;  
    forall neighbors  $u$  of  $v$  {  
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Running Time:

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- ▶ $O(n)$ for initialization
- ▶ $O(m)$ for main while loop
 - ▶ Examine every edge twice:
when each endpoint dequeued
 - ▶ Or (equivalent): Adjacency list
scanned only when vertex
dequeued

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Note: edges that cause a node to be
enqueued form a tree!

Correctness / Shortest Paths

Definition: Distance $d(u, v)$ from u to v is min # edges in any path from u to v

Theorem (informal): BFS(s) gives shortest paths from s to all other nodes

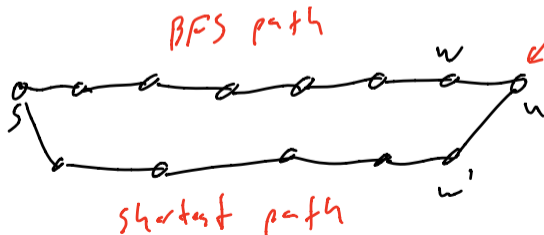
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Proof Sketch:

Assume false for contradiction, let u be closest node to s where BFS(s) doesn't give shortest path



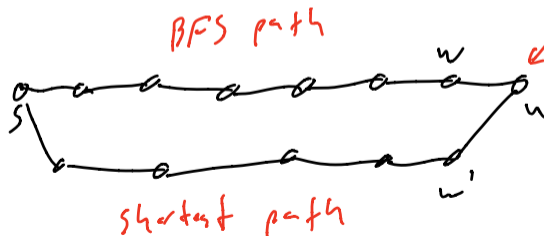
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$$d(s, w') < d(s, w)$$

\Rightarrow w' dequeued before w (since w' has correct distance by def of u)

\Rightarrow u will be enqueued from w' , not w . Contradiction.

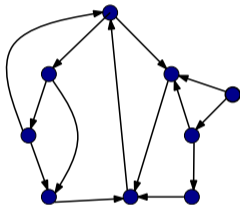
Depth-First Search (DFS)

DFS: Definition

Intuition: Instead of exploring wide (breadth), explore far (deep): just keep walking until see a node we've already seen, then backtrack!

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Init: for each  $v \in V$ ,  $mark(v) = False$ ;
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DFS( $v$ ) {  
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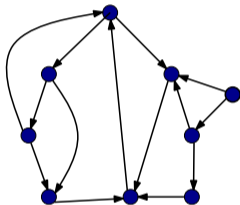


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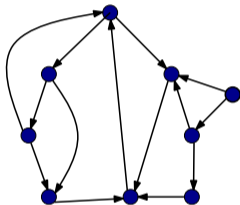
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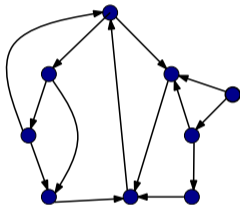
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Running time: $O(m + n)$

- ▶ $O(n)$ initialization
- ▶ Every edge considered at most twice

DFS: Correctness

Definition: u is *reachable* from v if there is a path $v = v_0, v_1, \dots, v_k = u$ such that $(v_i, v_{i+1}) \in E$ for all $i \in \{0, 1, \dots, k-1\}$.

Theorem

When $DFS(v)$ terminates, it has visited (marked) all nodes that are reachable from v .

Proof.

Suppose u reachable from v but not marked when $DFS(v)$ terminates.

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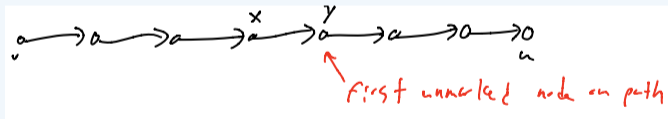
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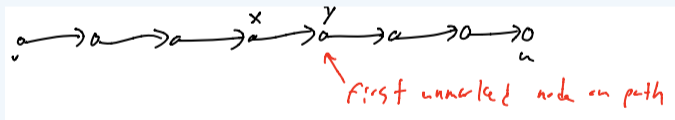
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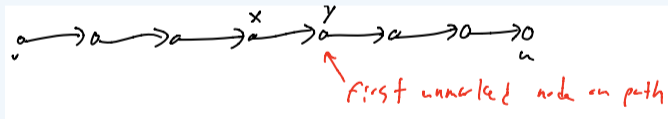
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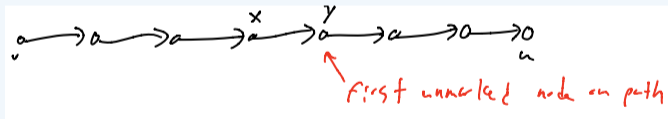
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Contradiction. □

Graph variant

After $\text{DFS}(\mathbf{v})$, node marked if and only if reachable from \mathbf{v} .

Might want to continue until all nodes marked.

```
DFS( $\mathbf{G}$ ) {  
  for all  $\mathbf{v} \in \mathbf{V}$ , set  $\text{mark}(\mathbf{v}) = \text{False}$ ;  
  while there exists an unmarked node  $\mathbf{v}$  {  
    DFS( $\mathbf{v}$ );  
  }  
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```

Timestamps

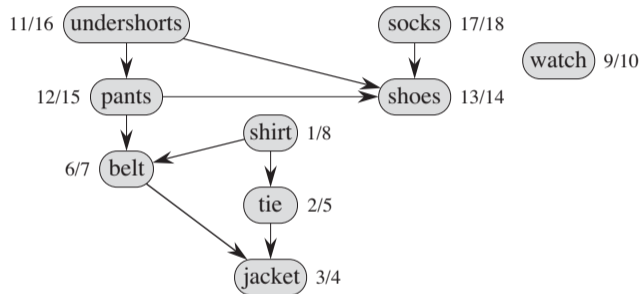
Explicitly keep track of “start” and “finishing” times

- ▶ Replaces *mark*

```
DFS(G) {  
    t = 0;  
    for all v ∈ V {  
        start(v) = 0;  
        finish(v) = 0;  
    }  
    while ∃v ∈ V with start(v) = 0 {  
        DFS(v);  
    }  
}
```

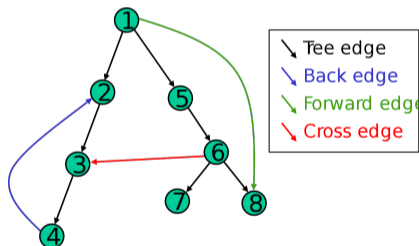
```
DFS(v) {  
    t = t + 1;  
    start(v) = t;  
    for each edge (v, u) ∈ A[v] {  
        if start(u) == 0 then DFS(u);  
    }  
    t = t + 1;  
    finish(v) = t;  
}
```

Timestamp Example



Edge Types

DFS naturally gives a spanning forest: edge (v, u) if DFS(v) calls DFS(u)



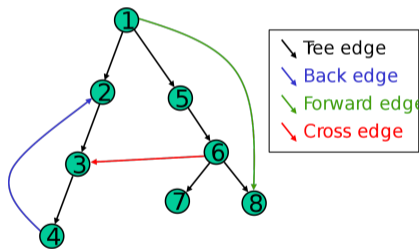
Forward Edges: (v, u) such that u descendent of v (includes tree edges)

Back Edges: (v, u) such that u an ancestor of v

Cross Edges: (v, u) such that u neither a descendent nor an ancestor of v

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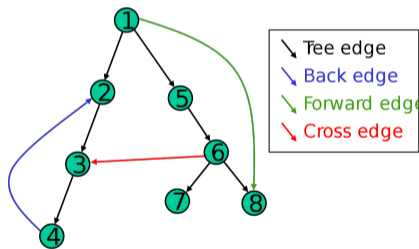
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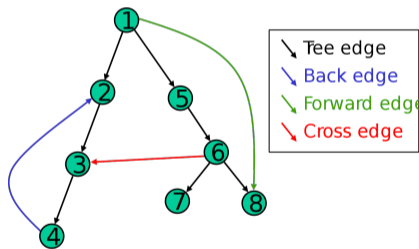
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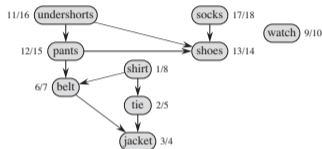
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Topological Sort

Definitions

Definition

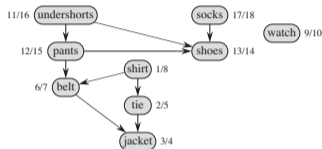
A directed graph G is a *Directed Acyclic Graph (DAG)* if it has no directed cycles.



Definitions

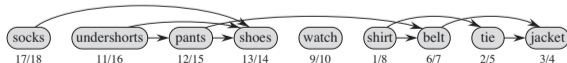
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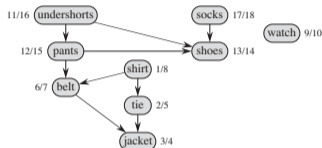
A *topological sort* v_1, v_2, \dots, v_n of a DAG is an ordering of the vertices such that all edges are of the form (v_i, v_j) with $i < j$.



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Q: Can we always topological sort a DAG? How fast?

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Algorithm (informal): Run DFS(\mathbf{G}). When DFS(\mathbf{v}) returns, put \mathbf{v} at beginning of list

Topological Sort

Algorithm (informal): Run $\text{DFS}(\mathbf{G})$. When $\text{DFS}(\mathbf{v})$ returns, put \mathbf{v} at beginning of list

```
DFS( $\mathbf{G}$ ) {  
  list  $\rightarrow$  head = NULL;  
  t = 0;  
  for all  $\mathbf{v} \in \mathbf{V}$  {  
    start( $\mathbf{v}$ ) = 0;  
    finish( $\mathbf{v}$ ) = 0;  
  }  
  while  $\exists \mathbf{v} \in \mathbf{V}$  with start( $\mathbf{v}$ ) = 0 {  
    DFS( $\mathbf{v}$ );  
  }  
}
```

```
DFS( $\mathbf{v}$ ) {  
  t = t + 1;  
  start( $\mathbf{v}$ ) = t;  
  for each edge  $(\mathbf{v}, \mathbf{u}) \in \mathbf{A}[\mathbf{v}]$  {  
    if start( $\mathbf{u}$ ) == 0 then DFS( $\mathbf{u}$ );  
  }  
  t = t + 1;  
  finish( $\mathbf{v}$ ) = t;  
  temp = list  $\rightarrow$  head;  
  list  $\rightarrow$  head =  $\mathbf{v}$ ;  
  list  $\rightarrow$  head  $\rightarrow$  next = temp;  
}
```

Characterizing DAGs

Theorem

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If (\Leftarrow): contrapositive. If \mathbf{G} has a directed cycle \mathbf{C} :

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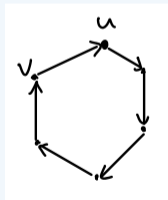
A directed graph G is a DAG if and only if $DFS(G)$ has no back edges.

Proof.

Only if (\Rightarrow): contrapositive. If G has a back edge: Directed cycle! Not a DAG.

If (\Leftarrow): contrapositive. If G has a directed cycle C :

- ▶ Let $u \in C$ with minimum start value, v predecessor in cycle
- ▶ All nodes in C reachable from $u \implies$ all nodes in C descendants of u
- ▶ (v, u) a back edge



Topological Sort Analysis

Correctness: Since G a DAG, never see back edge

⇒ Every edge (v, u) out of v a forward or cross edge

⇒ $finish(u) < finish(v)$

⇒ u already in list when v added to beginning

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Running Time: Same as DFS! $O(m + n)$