Lecture 4: Linear Time Selection/Median

Michael Dinitz

September 5, 2024 601.433/633 Introduction to Algorithms

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Intro and Problem Definition

Last time: sorting in expected $O(n \log n)$ time (randomized quicksort)

▶ Should already know (from Data Structures) deterministic $O(n \log n)$ algorithms for sorting (mergesort, heapsort)

Today: two related problems

- ▶ Median: Given array **A** of length n, find the median: [n/2]nd smallest element.
- Selection: Given array \boldsymbol{A} of length \boldsymbol{n} and $\boldsymbol{k} \in [\boldsymbol{n}] = \{1, 2, \dots, \boldsymbol{n}\}$, find \boldsymbol{k} 'th smallest element.

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- ▶ Median: Given array **A** of length n, find the median: [n/2]nd smallest element.
- ▶ Selection: Given array **A** of length **n** and $k \in [n] = \{1, 2, ..., n\}$, find k'th smallest element.

Can solve both in $O(n \log n)$ time via sorting. Faster?

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k = 1:

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Does this work when k = n/2?

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- ▶ Need to keep track of n/2 smallest.
- When scanning, see an element, need to determine if one of k smallest. If yes, remove previous max of the n/2 we've been keeping track of.
 - Not easy to do! Foreshadow: would need to use a heap. $\Theta(\log n)$ -worst case time.

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Main idea: (Randomized) Quicksort, but only recurse on side with element we're looking for.



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R-Quickselect($\boldsymbol{A}, \boldsymbol{k}$):

- 1. If |A| = 1, return the element.
- 2. Pick a pivot element **p** uniformly at random from **A**.
- 3. Compare each element of \bf{A} to \bf{p} , creating subarrays \bf{L} of elements less than \bf{p} and \bf{G} of elements greater than **p**.
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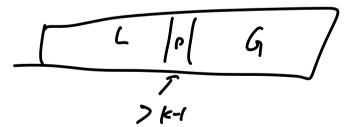
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- 4. 4.1 If |L| = k 1: return **p**. 4.2 if |L| > k - 1: return R-Quickselect(L, k).

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Quickselect: Correctness

Sketch here: good exercise to do at home!

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Quickselect: Correctness

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Prove by induction ("loop invariant") that on any call to R-Quickselect(X, a), the element we're looking for is a'th smallest of X.

- ▶ Base case: first call to R-Quickselect(A, k). Correct by definition.
- Inductive case: suppose was true for call R-Quickselect(Y, b).
 - ▶ If we return element: correct
 - ▶ If we recurse on **L**: correct
 - ▶ If we recurse on **G**: correct

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Intuition:

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Intuition:

- ▶ Random pivot should be "near middle", so splits array "approximately in half".
- $ightharpoonup O(\log n)$ recursive calls, but each one on an array of half the size

$$\implies T(n) = T(n/2) + cn \implies O(n)$$
 time

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Formalize this. Let T(n) be expected # comparisons on array of size n.

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- ▶ Splitting around pivot: n-1 comparisons
- ▶ Recurse on either L or $G \implies$ recursion costs at most $\max(T(|L|), T(|G|)) = T(\max(|L|, |G|))$.

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- ▶ |L|, |G| distributed uniformly among [0, n-1].

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$$T(n) \leq (n-1) + \sum_{i=0}^{n-1} \frac{1}{n} T(\max(i, n-i-1))$$

$$\leq (n-1) + \sum_{i=0}^{n/2-1} \frac{1}{n} T(n-i-1) + \sum_{i=n/2}^{n-1} \frac{1}{n} T(i) = (n-1) + \frac{2}{n} \sum_{i=n/2}^{n-1} T(i)$$

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Want to solve recurrence relation $T(n) \le (n-1) + \frac{2}{n} \sum_{i=n/2}^{n-1} T(i)$.

Guess and check: $T(n) \le 4n$.

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$$T(n) \leq (n-1) + \frac{2}{n} \sum_{i=n/2}^{n-1} 4i = (n-1) + 4 \cdot \frac{2}{n} \sum_{i=n/2}^{n-1} i$$

$$= (n-1) + 4 \cdot \frac{2}{n} \left(\sum_{i=1}^{n-1} i - \sum_{i=1}^{n/2-1} i \right)$$

$$= (n-1) + 4 \cdot \frac{2}{n} \left(\frac{n(n-1)}{2} - \frac{(n/2)(n/2-1)}{2} \right)$$

$$\leq (n-1) + 4 \cdot \left((n-1) - \frac{n/2-1}{2} \right)$$

$$\leq (n-1) + 4 \left(\frac{3n}{4} \right) \leq 4n.$$

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Deterministic Version

Intuition:

- Randomization worked because it got us a "reasonably good" pivot.
- Simple deterministic pivot (first element, last element, etc.) bad because might not split array well.
- Deterministically find a pivot that's "close" to the middle?

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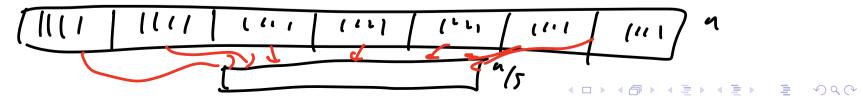
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Median-of-medians:

- ▶ Split \mathbf{A} into $\mathbf{n/5}$ groups of $\mathbf{5}$ elements each.
- Compute median of each group.
- Let p be the median of the n/5 medians



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Want to claim: p is a good pivot, and can find p efficiently.

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Median-of-Medians is good pivot

Theorem

|L| and |G| are both at most 7n/10 when p is median of medians.



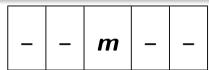
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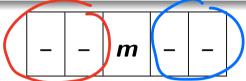
Let \boldsymbol{B} be a group (of $\boldsymbol{5}$ elements), \boldsymbol{m} median of \boldsymbol{B} :



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If m < p: at least three elements of B (m and two smaller) are in L

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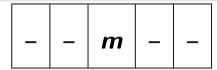
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By definition of p, n/10 groups have m < p and n/10 have m > p

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$$|L| \geq \frac{n}{10} \cdot 3 = \frac{3n}{10} \implies |G| \leq \frac{7n}{10}$$

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Finding Median of Medians

Have n/5 elements (median of each group). Want to find median.

What problem is this?

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Finding Median of Medians

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What problem is this? Median / Selection!

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Finding Median of Medians

Have n/5 elements (median of each group). Want to find median.

What problem is this? Median / Selection!

Recursion! Use same algorithm on array of medians.

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Algorithm due to Blum-Pratt-Floyd-Rivest-Tarjan.

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Algorithm due to Blum-Pratt-Floyd-Rivest-Tarjan.

 $\mathsf{BPFRT}(\pmb{A},\pmb{k})$

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Algorithm due to Blum-Pratt-Floyd-Rivest-Tarjan.

BPFRT(A, k)

- 1. Group A into n/5 groups of 5, and let A' be an array of size n/5 containing the median of each group.
- 2. Let $p = \mathsf{BPFRT}(A', n/10)$, i.e., recursively find the median p of A' (the median-of-the-medians).

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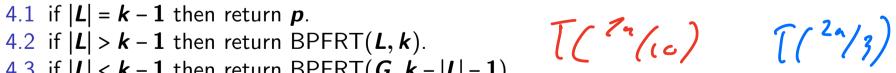
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BPFRT(A, k)

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- 2. Let p = BPFRT(A', n/10), i.e., recursively find the median p of A' (the T(n/5)) median-of-the-medians).
- 3. Split **A** using **p** as a pivot into **L** and **G**. $\mathcal{O}(\iota)$
- 4. Recurse on the appropriate piece:
 - 4.1 if |L| = k 1 then return p.

 - 4.3 if |L| < k 1 then return BPFRT(G, k |L| 1).



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BPFRT Analysis

Let T(n) be (worst-case) running time on A of size n.

- ▶ Step 1: *O*(*n*) time
- ▶ Step 2: *T*(*n*/5) time
- ▶ Step 3: *O*(*n*) time
- ► Step 4: **T(7n/10)** time

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- Step 2: T(n/5) time
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- ► Step 4: *T*(7*n*/10) time

$$T(n) \leq T(7n/10) + T(n/5) + cn$$

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BPFRT Analysis

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- ▶ Step 1: *O*(*n*) time
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- ▶ Step 3: *O*(*n*) time
- ► Step 4: **T(7n/10)** time

$$T(n) \leq T(7n/10) + T(n/5) + cn$$

Guess $T(n) \leq 10cn$:

$$T(n) \le 10c(7n/10) + 10c(n/5) + cn = 9cn + cn = 10cn$$

Can use this to get deterministic $O(n \log n)$ -time Quicksort!

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Can use this to get deterministic $O(n \log n)$ -time Quicksort! Use BPFRT(A, n/2) to choose median as pivot.

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Can use this to get deterministic $O(n \log n)$ -time Quicksort! Use BPFRT(A, n/2) to choose median as pivot.

Let T(n) be time on input of size n.

- ▶ BPFRT to find pivot takes O(n) time
- Splitting around pivot takes O(n) time
- Each recursive call takes T(n/2) time

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- Each recursive call takes T(n/2) time

$$T(n) = 2T(n/2) + cn \implies T(n) = \Theta(n \log n)$$

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