Lecture 4: Linear Time Selection/Median

Michael Dinitz

September 5, 2024 601.433/633 Introduction to Algorithms

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Intro and Problem Definition

Last time: sorting in expected $O(n \log n)$ time (randomized quicksort)

Should already know (from Data Structures) deterministic O(n log n) algorithms for sorting (mergesort, heapsort)

Today: two related problems

- Median: Given array **A** of length n, find the median: [n/2]nd smallest element.
- Selection: Given array **A** of length **n** and $k \in [n] = \{1, 2, ..., n\}$, find k'th smallest element.

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- Selection: Given array **A** of length **n** and $k \in [n] = \{1, 2, ..., n\}$, find k'th smallest element.

Can solve both in $O(n \log n)$ time via sorting. Faster?

k = 1:

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k = 1: Scan through array, keeping track of smallest. O(n) time.

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Does this work when k = n/2?

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- Need to keep track of n/2 smallest.
- When scanning, see an element, need to determine if one of k smallest. If yes, remove previous max of the n/2 we've been keeping track of.
 - Not easy to do! Foreshadow: would need to use a *heap*. $\Theta(\log n)$ -worst case time.

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- Θ(n log n) worst-case time.

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Main idea: (Randomized) Quicksort, but only recurse on side with element we're looking for.

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R-Quickselect(A, k):

- 1. If $|\mathbf{A}| = \mathbf{1}$, return the element.
- 2. Pick a pivot element \boldsymbol{p} uniformly at random from \boldsymbol{A} .
- 3. Compare each element of A to p, creating subarrays L of elements less than p and G of elements greater than p.
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4.3 If $|L| < k - 1$: return R-Quickselect $(G, k - |L| - 1)$.

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Quickselect: Correctness

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Sketch here: good exercise to do at home!

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Sketch here: good exercise to do at home!

Prove by induction ("loop invariant") that on any call to R-Quickselect(X, a), the element we're looking for is a'th smallest of X.

- Base case: first call to R-Quickselect(A, k). Correct by definition.
- ▶ Inductive case: suppose was true for call R-Quickselect(**Y**, **b**).
 - If we return element: correct
 - ▶ If we recurse on *L*: correct
 - ▶ If we recurse on **G**: correct

Quickselect: Running Time Intuition:

Intuition:

- Random pivot should be "near middle", so splits array "approximately in half".
- O(log n) recursive calls, but each one on an array of half the size

 \implies $T(n) = T(n/2) + cn \implies O(n)$ time

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$$T(n) \leq (n-1) + \sum_{i=0}^{n-1} \frac{1}{n} T(\max(i, n-i-1))$$

$$\leq (n-1) + \sum_{i=0}^{n/2-1} \frac{1}{n} T(n-i-1) + \sum_{i=n/2}^{n-1} \frac{1}{n} T(i) = (n-1) + \frac{2}{n} \sum_{i=n/2}^{n-1} T(i)$$

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Want to solve recurrence relation $T(n) \le (n-1) + \frac{2}{n} \sum_{i=n/2}^{n-1} T(i)$. Guess and check: $T(n) \le 4n$.

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$$T(n) \leq (n-1) + \frac{2}{n} \sum_{i=n/2}^{n-1} 4i = (n-1) + 4 \cdot \frac{2}{n} \sum_{i=n/2}^{n-1} i$$
$$= (n-1) + 4 \cdot \frac{2}{n} \left(\sum_{i=1}^{n-1} i - \sum_{i=1}^{n/2-1} i \right)$$
$$= (n-1) + 4 \cdot \frac{2}{n} \left(\frac{n(n-1)}{2} - \frac{(n/2)(n/2-1)}{2} \right)$$
$$\leq (n-1) + 4 \cdot \left((n-1) - \frac{n/2-1}{2} \right)$$
$$\leq (n-1) + 4 \left(\frac{3n}{4} \right) \leq 4n.$$

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Deterministic Version

Intuition:

- Randomization worked because it got us a "reasonably good" pivot.
- Simple deterministic pivot (first element, last element, etc.) bad because might not split array well.
- Deterministically find a pivot that's "close" to the middle?

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Median-of-medians:

- Split **A** into **n**/**5** groups of **5** elements each.
- Compute median of each group.
- Let p be the median of the n/5 medians

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Want to claim: p is a good pivot, and can find p efficiently.

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Theorem

|L| and |G| are both at most 7n/10 when p is median of medians.

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Let \boldsymbol{B} be a group (of 5 elements), \boldsymbol{m} median of \boldsymbol{B} :

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$$|L| \geq \frac{n}{10} \cdot 3 = \frac{3n}{10} \implies |G| \leq \frac{7n}{10}$$

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Finding Median of Medians

Have n/5 elements (median of each group). Want to find median.

What problem is this?

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Recursion! Use same algorithm on array of medians.

Algorithm due to Blum-Pratt-Floyd-Rivest-Tarjan.

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 $\mathsf{BPFRT}(\mathbf{A}, \mathbf{k})$

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BPFRT(**A**, **k**)

- 1. Group **A** into n/5 groups of **5**, and let **A**' be an array of size n/5 containing the median of each group.
- Let p = BPFRT(A', n/10), i.e., recursively find the median p of A' (the median-of-the-medians).

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- Let *p* = BPFRT(*A*', *n*/10), i.e., recursively find the median *p* of *A*' (the median-of-the-medians).
- 3. Split \boldsymbol{A} using \boldsymbol{p} as a pivot into \boldsymbol{L} and \boldsymbol{G} .
- 4. Recurse on the appropriate piece:

4.1 if $|\mathbf{L}| = \mathbf{k} - \mathbf{1}$ then return \mathbf{p} . 4.2 if $|\mathbf{L}| > \mathbf{k} - \mathbf{1}$ then return BPFRT (\mathbf{L}, \mathbf{k}) . 4.3 if $|\mathbf{L}| < \mathbf{k} - \mathbf{1}$ then return BPFRT $(\mathbf{G}, \mathbf{k} - |\mathbf{L}| - \mathbf{1})$.

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BPFRT Analysis

Let T(n) be (worst-case) running time on A of size n.

- ▶ Step 1: **O**(**n**) time
- ▶ Step 2: *T*(*n*/5) time
- ▶ Step 3: **O**(**n**) time
- Step 4: T(7n/10) time

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- ▶ Step 4: *T*(7*n*/10) time

$$T(n) \leq T(7n/10) + T(n/5) + cn$$

Guess $T(n) \leq 10cn$:

$$T(n) \le 10c(7n/10) + 10c(n/5) + cn = 9cn + cn = 10cn$$

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Can use this to get *deterministic* **O**(**n log n**)-time Quicksort!

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Let T(n) be time on input of size n.

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- Splitting around pivot takes O(n) time
- Each recursive call takes T(n/2) time

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$$T(n) = 2T(n/2) + cn \implies T(n) = \Theta(n \log n)$$